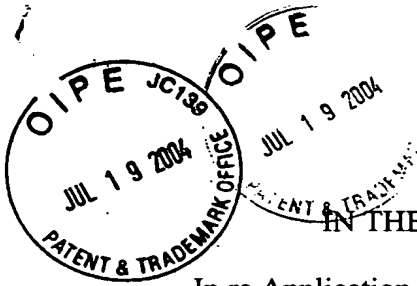


3629  
30

2000P21846US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gerhard Buchholtz

Art Unit: 3629

Serial No.: 09/765,925

Examiner: to be assigned

Filing Date: 01/22/2001

Atty. Docket: 2000P21846US

For: Method and data processing system for the knowledge-based advising managing and/or controlling of a business process

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
U.S Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Application Number  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

**RECEIVED**

JUL 21 2004

**GROUP 3600**

Sir:

Listed on accompanying form PTO/SB/08A are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. §120 priority documents.

- This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of the first Office Action on the merits. No certification or fee is required.

**This Page Blank (uspto)**

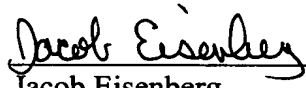
- The document(s) was/were cited in a corresponding foreign application, international search report, and/or within the US filed application.
- The translations provided herein are to the cited foreign language references.

It is respectfully requested that the Examiner initial and return a copy of the enclosed form and to indicate in the official file of this patent application that the documents have been considered.

It is Applicants understanding that no fee is due for this request. However, in the event that the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No.: 502464** referencing docket no.: 2000P21846US. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: 07/12/2004  
Siemens Schweiz  
Intellectual Property  
IP, I-44  
Albisriederstrasse 245  
CH-8047 Zürich, Switzerland  
Tel: +41 (0) 585 583 295  
Fax: +41 (0) 585 583 228

  
\_\_\_\_\_  
Jacob Eisenberg  
Attorney for Applicant  
Registration No. 43,410  
Customer No.: 28204

**This Page Blank (uspto)**



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/765,925		
		Filing Date	01/22/2001		
		First Named Inventor	Gerhard Buchholtz		
		Art Unit	3629		
		Examiner Name	to be assigned		
Sheet	1	of	1	Attorney Docket Number	2000P21846US

**RECEIVED**  
JUL 21 2004  
**GROUP 3600**

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Translation in English - GEROLD RIEMPP; Diplomarbeit "Modellentwurf für Workflow Management mit verteilten Dokumenten-Datenbanken in WAN-Verbund"; 1994; D-64285 Darmstadt.	
		Translation in English - RAINER VERLING; Diplomarbeit "Die Gestaltung virtueller Unternehmen-Potentiale durch Informations- und Kommunikationssysteme dargestellt am Beispiel ausgewählter Workflow-Management Systeme"; 1997; CH-Zürich.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



11

**This Page Blank (uspto)**